

**COMBINED DECLARATION AND POWER OF ATTORNEY**

As below named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name,

I believe I am the original, first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **RESTRICTED SECURITIES PROCESSING**, the specification of which

- ☐ is attached hereto.  
☒ was filed on December 29, 2000 as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_  
☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amended referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulation, § 1.56.

And I hereby appoint John E. Kidd, Reg. No. 19,916; Leora Ben-Ami, Reg. No. 32,455; Victor Siber, Reg. No. 25,149; Philip E. Roux, Reg. No. 31,295; C. Joseph Laughon, II, Reg. No. 31,389; Michael M. O'Shea, Reg. No. 35,631; Michael J. Pantuliano, Reg. No. 18,971; Margaret B. Kelley, Reg. No. 29,181; Robert D. Schaffer, Reg. No. 33,775; Joel N. Bock, Reg. No. 36,456; Gerard P. Norton, Reg. No. 36,621; John T. Johnson, Reg. No. 37,363; Victor J. Geraci, Reg. No. 38,157; Frank C. Cimino, Reg. No. 39,945; Nada Jain, Reg. No. 41,431; Joseph Levi, Reg. No. 41,152; James V. Mahon, Reg. No. 41,966; Mitchell S. Feller, Reg. No. 42,530; Frank J. Nuzzi, Reg. No. 42,944; David F. Ries, Reg. No. 43,046; Anne M. Coughlin, Reg. No. 43,564; Joseph P. Kincart, Reg. No. 43,716; David S. Figatner, Reg. No. 44,106, and Steven J. Lever, Reg. No. 46,871, all of the firm of Clifford Chance Rogers & Wells LLP, 200 Park Avenue, New York, New York 10166-0153 my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all correspondence to Joseph P. Kincart, Clifford Chance Rogers & Wells LLP, 200 Park Avenue, New York, NY 10166 (212) 878-3289.

I hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name Of Inventor: John Bixios

Inventor's Signature: John Bixios

Date: 2/9/01

Residence Address: 247 First Avenue, #12, New York, NY 10022

231 EAST 76<sup>th</sup> ST., #8A, NY NY 10021

Citizen Of: USA

Post Office Address: same as above

# ASSIGNMENT

For valuable consideration, I, John Brixius of New York, New York, hereby assign to Goldman Sachs & Co., having a place of business at One New York Plaza, New York, New York 10004, and its successors and assigns (collectively hereinafter call "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled **RESTRICTED SECURITIES PROCESSING**, filed herewith, and this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation, to perform such lawful acts and to sign further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
this 9 day of 2, 2001

John Brixius  
John Brixius

STATE OF New York:

:SS.

COUNTY OF New York:

Before me this 9 day of Feb, 2001, personally appeared John Brixius  
\_\_\_\_\_ known to me to be the person whose name is subscribed to the foregoing Assignment,  
and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein  
contained.

James Schaad  
Notary Public

My Commission Expires:

[Notary's Seal Here]

James Schaad  
**JAMES SCHAAD**  
Notary Public, State of New York  
No. 31-8783100  
Qualified in New York County  
Commission Expires Oct. 31, 2002